



Covered Period	26 MARCH – 25 APRIL 2021
Total No. of Participants Monitored	135 Trading Participants
Total No. of Facilities Monitored	165 Power Plants
Total No. of Intervals Monitored	189,600 Intervals

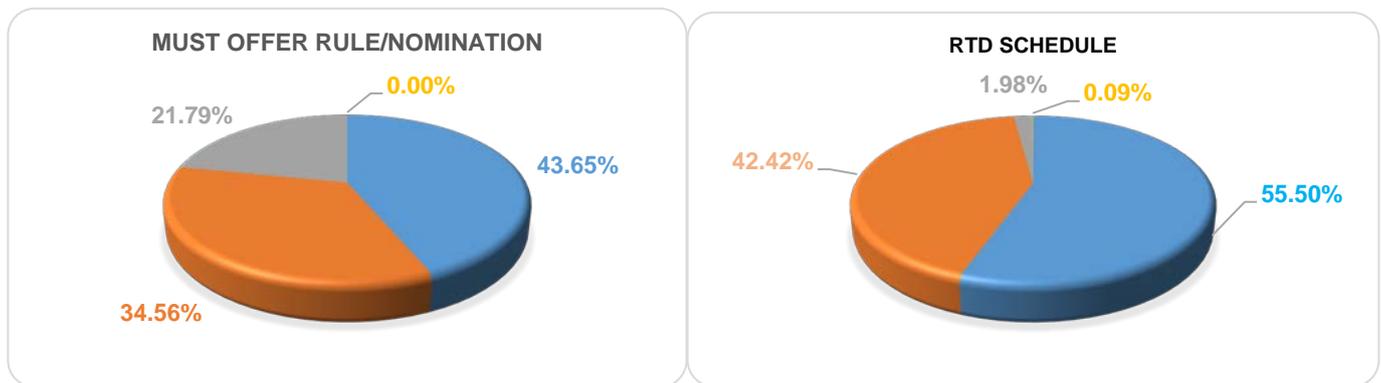
RECOMMENDATIONS AND ACTIONS REQUESTED

Recommendations	Intervals	%	No. of Trading Participants	No. of Plants/Facility	For Details
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
For Closure	189,600	100.00%	135	165	Annex A-1
For Investigation (Issuance of RFI)	0	0.00%	0	0	Annex A-2
Total	189,600	100.00%			
COMPLIANCE WITH THE RTD SCHEDULE (RTD)					
For Closure	189,421	99.91%	135	165	Annex B-1
For Investigation (Issuance of RFI)	179	0.09%	3	4	Annex B-2
Total	189,600	100.00%			

The details and reasons for recommended closure and for issuance of RFIs are likewise found in [Annexes A-E](#).

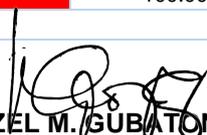
BASIS FOR RECOMMENDATIONS

SUMMARY FINDINGS – COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE



Legend: ■ Compliant ■ Initial Monitoring Justified ■ Initially Flagged NC But Justified ■ Initially Flagged NC-For Investigation

Summary Findings	Compliant	Initial Monitoring - Justified	Initially Flagged Non-Compliance But Justified (Post)	Initially Flagged Non-Compliance – FOR INVESTIGATION	Grand Total
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
Intervals, #	82,754	65,527	41,319	0	189,600
Percentage	43.65%	34.56%	21.79%	0.00%	100.00%
COMPLIANCE WITH THE RTD SCHEDULE					
Intervals, #	105,223	80,436	3,762	179	189,600
Percentage	55.50%	42.42%	1.98%	0.09%	100.00%


MA. HAZEL M. GUBATON-LOPEZ
 Enforcement and Compliance Office Head