



Covered Period	26 APRIL – 25 MAY 2021
Total No. of Participants Monitored	137 Trading Participants
Total No. of Facilities Monitored	170 Power Plants
Total No. of Intervals Monitored	185,280 Intervals

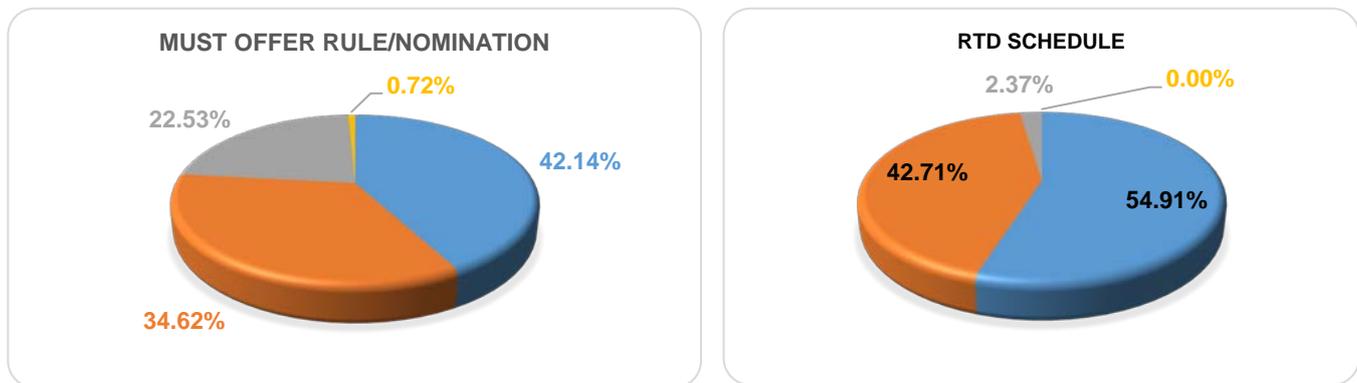
RECOMMENDATIONS AND ACTIONS REQUESTED

Recommendations	Intervals	%	No. of Trading Participants	No. of Plants/Facility	For Details
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
For Closure	183,952	99.28%	137	170	Annex A-1
For Investigation (Issuance of RFI)	1,328	0.72%	5	5	Annex A-2
Total	185,280	100.00%			
COMPLIANCE WITH THE RTD SCHEDULE (RTD)					
For Closure	185,280	100.00%	137	170	Annex B-1
For Investigation (Issuance of RFI)	0	0.00%	0	0	Annex B-2
Total	185,280	100.00%			

The details and reasons for recommended closure and for issuance of RFIs are likewise found in **Annexes A-E**.

BASIS FOR RECOMMENDATIONS

SUMMARY FINDINGS – COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE



Legend: ■ Compliant ■ Initial Monitoring Justified ■ Initially Flagged NC But Justified ■ Initially Flagged NC-For Investigation

Summary Findings	Compliant	Initial Monitoring - Justified	Initially Flagged Non-Compliance But Justified (Post)	Initially Flagged Non-Compliance – FOR INVESTIGATION	Grand Total
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
Intervals, #	78,074	64,140	41,738	1,328	185,280
Percentage	42.14%	34.62%	22.53%	0.72%	100.00%
COMPLIANCE WITH THE RTD SCHEDULE					
Intervals, #	101,742	79,141	4,397	0	185,280
Percentage	54.91%	42.71%	2.37%	0.00%	100.00%

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Enforcement and Compliance Office Head