



# Orientation on Market Compliances

Course Syllabus

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## INTRODUCTION: PEMC TRAINING



2019



2022



The Training Section carries on the tasks and activities initiated by the PEMC Training Technical Working Group (TWG) in 2019. As such, its main function is to organize, conduct, and manage trainings and other related endeavors, such as awareness and information drives. This is to promote learning among the Wholesale Electricity Spot Market (WESM) stakeholders on matters concerning market governance, rules, and developments in the market for better understanding and appreciation of the WESM. Relevant market updates that have a significant impact on market governance will also form part of the training campaign.

Trainings are typically conducted once every month, between January to October each year.

## COURSE INFORMATION

Course Title	:	Orientation on Market Compliances
Level	:	Basic
Requirement	:	No course prerequisite
Course Credit	:	Two (2) credit units for the WCO Certification Program
Duration	:	Two (2) hours
Training Type	:	Regular Training
Training Fees	:	None

## COURSE DESCRIPTION

The course intends to provide general concepts on enforcement and compliance processes, guidelines, and other market compliance updates.

## TARGET AUDIENCE

New WESM Members

## LEARNING OBJECTIVES

1. Define breach.
2. Explain the proceedings to be undertaken if a probable breach is committed by a WESM Member.
3. Identify the penalty for each type of breach.
4. Demonstrate the functionalities of the Compliance Post- Evaluation Monitoring System (CPEMS).

## COURSE OUTLINE (1/2)

Course Title	Coverage	Description	Duration
Orientation on Market Compliances	<ul style="list-style-type: none"> <li>• Introduction to Enforcement Proceedings               <ul style="list-style-type: none"> <li>◦ Roles of Responsible Entities</li> <li>◦ Compliance Monitoring and Assessment (CMA) Process</li> <li>◦ Investigation Process</li> <li>◦ Post-Investigation remedies (Requests for Reconsideration and Appeals)</li> </ul> </li> <li>• Overview of TP Obligations in the WESM               <ul style="list-style-type: none"> <li>◦ Generator Classifications</li> <li>◦ Offered Capacity Compliance (OCC)</li> <li>◦ Dispatch Conformance Standard (DCS)</li> <li>◦ Forecast Accuracy Standards (FAS)</li> <li>◦ Penalty Assessment</li> </ul> </li> <li>• Compliance Post-Evaluation and Monitoring System (CPEMS) Hands-on: Quick Live Demo</li> </ul>	<ul style="list-style-type: none"> <li>• Introduces the roles and responsibilities involved in the enforcement proceedings. Focuses on the enforcement proceedings facilitated by ECO including its regular monitoring of generator Trading Participants (TP) compliance with respect to its obligations in the market with an overview of the post-investigation remedies that the TPs (with findings of breach) may opt to exercise.</li> <li>• Characterizes the applicable obligations of specific generators relative to its classification in the market and defines the scope of the OCC, Dispatch Conformance Standards, and Forecast Accuracy Standards (FAS) with an introduction to the penalty assessment in the WESM.</li> <li>• Offers a brief introduction on the CPEMS and a quick walkthrough of the system navigation including a discussion of the various CPEMS modules functionalities.</li> </ul>	2 hours

## COURSE OUTLINE (2/2)

Course Title	Coverage	Description	Duration
Orientation on Market Compliances	<ul style="list-style-type: none"><li>• Market Compliance Updates<ul style="list-style-type: none"><li>◦ Submission of WCO Annual Report</li><li>◦ Nomination of Compliance Officers</li><li>◦ ECO Forms and Templates</li><li>◦ Ongoing ECO Studies</li></ul></li></ul>	<ul style="list-style-type: none"><li>• Provides updates relevant to market compliances including ongoing market studies conducted by ECO and other required submissions from the WESM Members.</li></ul>	2 hours

## COURSE MATERIALS

Training-related materials are released within five (5) business days from the date of the course completion. These typically include the following:

- Certificate of Attendance
- Presentation deck
- Training Highlights
- Course Completion Cards for the WCO Certification Program enrollees

## REGISTRATION GUIDELINES

- Registration forms or Training Course Enrollment Forms for trainings will be released at least 14 days before the scheduled training date.
- Each training participant should accomplish one (1) registration form.
- To better accommodate and monitor the attendance of the WESM Compliance Officers (WCOs) enrolled in the WCO Certification Program, there will be a limited seats for each training course.
- Once the maximum number of online seats has been reached, the online registration form will no longer be accessible.
- To register for this basic training course, please click on the training course enrollment form: [Orientation on Market Compliances](#).



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