

MONTHLY CONSOLIDATED COMPLIANCE MONITORING AND ASSESSMENT REPORT

| | |
|--------------------------------------|--|
| Covered Period | 2022/11/26 - 2022/12/25 |
| Total No. of Participants Monitored | 195 |
| Total No. of Facilities Monitored ID | 251 |
| Total No. of Intervals Monitored | OCCS:292714 DCS:3512553 Total: 3805267 |

| A. RECOMMENDATIONS AND ACTIONS REQUESTED | | | | |
|--|-----------|---------|-----------------------------|--------------------------|
| Recommendations | Intervals | % | No. of Trading Participants | No. of Plants/Facilities |
| COMPLIANCE WITH THE OFFERED CAPACITY COMPLIANCE | | | | |
| Justified | 101176 | 99.99 % | 150 | 190 |
| Breach | 14 | 0.01 % | 3 | 3 |
| Total | 101190 | 100 % | - | - |
| COMPLIANCE WITH THE DISPATCH CONFORMANCE STANDARDS | | | | |
| Justified | 282658 | 99.99 % | 135 | 164 |
| Breach | 25 | 0.01 % | 2 | 2 |
| Total | 282683 | 100 % | - | - |

| B. BREACH FINDINGS | | | | |
|---|--------|---------|----------|-------------|
| SUMMARY FINDINGS - COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE | | | | |
| Summary Findings | Luzon | Visayas | Mindanao | Grand Total |
| OFFERED CAPACITY COMPLIANCE | | | | |
| Justified | 55626 | 28027 | 17523 | 101176 |
| Not Justified | 9 | 5 | 0 | 14 |
| Percentage | 54.98% | 27.7% | 17.32% | 100% |
| COMPLIANCE WITH THE DISPATCH CONFORMANCE STANDARDS | | | | |
| Justified | 96426 | 71327 | 114905 | 282658 |
| Not Justified | 1 | 24 | 0 | 25 |
| Percentage | 34.11% | 25.24% | 40.65% | 100% |

**MONTHLY CONSOLIDATED
COMPLIANCE MONITORING AND ASSESSMENT REPORT**

| C. PENALTIES IMPOSED (based on Published reports) | | | | |
|--|--------------|----------------|-----------------|--------------------|
| Penalty | Luzon | Visayas | Mindanao | Grand Total |
| OFFERED CAPACITY COMPLIANCE | | | | |
| Non-Compliance Letter | 0 | 2 | 0 | 2 |
| Level 1 Penalty Count | 5 | 3 | 0 | 8 |
| Level 2 Penalty Count | 4 | 0 | 0 | 4 |
| Penalty Amount, PhP | 13,000.00 | 3,000.00 | 0.00 | 16,000.00 |
| DISPATCH CONFORMANCE STANDARDS | | | | |
| Non-Compliance Letter | 1 | 24 | 0 | 25 |
| Level 1 Penalty Count | 0 | 0 | 0 | 0 |
| Level 2 Penalty Count | 0 | 0 | 0 | 0 |
| Penalty Amount, PhP | 0.00 | 0.00 | 0.00 | 0.00 |

Gubaton Lopez Ma Hazel
Enforcement and Compliance Office Head