



# Orientation on Market Compliances

Course Syllabus

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## INTRODUCTION: PEMC TRAINING



**Training  
TWG**

2019

**Training Section  
Corporate Planning &  
Communications (CPC)**

2022

**Learning Management &  
Capacity Development (LMCD)  
Enforcement &  
Compliance Office (ECO)**

2023

The Learning Management and Capacity Development (LMCD) Division (formerly the Knowledge Management and Development (KMD) Division) of the Enforcement and Compliance Office (ECO) carries on the tasks and activities initiated by the Training Section of the Corporate Planning and Communications (CPC) in 2022.

Intrinsically, the LMCD's main function is to organize, manage, and conduct trainings and other related capacity development endeavors, such as awareness and information drives to promote learning among the Wholesale Electricity Spot Market (WESM) stakeholders on matters concerning market governance, rules, and developments in the market for better understanding and appreciation of the WESM. Relevant market updates that have significant impact on market governance will also form part of the training campaign.

Trainings are typically conducted once every month, between January and October each year.

### COURSE INFORMATION

Course Title	:	Orientation on Market Compliances
Level	:	Basic
Requirement	:	No course prerequisite
Course Credit	:	Five (5) credit units for the WCO Certification Program
Duration	:	Three (3) hours
Training Type	:	Regular Training
Training Fees	:	None

### COURSE DESCRIPTION

The course intends to provide general concepts on enforcement and compliance processes, guidelines, and other market compliance updates.

### TARGET AUDIENCE

New WESM Members

## LEARNING OBJECTIVES

1. Discuss the Enforcement and Compliance mandate, proceedings, and authorized actions resulting from rule breaches.
2. Enumerate the process in compliance monitoring and assessment.
3. Identify the investigation and post-investigation processes.
4. Define the following generator compliances:
  - a. Offered Capacity Compliance
  - b. Dispatch Conformance Standards
5. Familiarize the audience with the Compliance Post-Evaluation and Monitoring System (CPEMS).
6. Identify the required submissions from new WESM members, including but not limited to the following:
  - a. WCO Annual Report
  - b. ECO forms and templates
7. Discuss other market compliance updates.

## COURSE OUTLINE (1/2)

Course Title	Coverage	Description	Duration
Orientation on Market Compliances	<ul style="list-style-type: none"> <li>• Introduction to ECO Processes               <ul style="list-style-type: none"> <li>○ Roles of Responsible Entities</li> <li>○ Compliance Monitoring and Assessment (CMA) Process</li> <li>○ Investigation Process</li> <li>○ Post-Investigation remedies (Requests for Reconsideration and Appeals)</li> </ul> </li> <li>• Overview of TP Obligations in the WESM               <ul style="list-style-type: none"> <li>○ Generator Classifications</li> <li>○ Offered Capacity Compliance (OCC)</li> <li>○ Dispatch Conformance Standard (DCS)</li> <li>○ Forecast Accuracy Standards (FAS)</li> <li>○ Penalty Assessment</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• A refresher on the roles and responsibilities of various market entities</li> <li>• The legal framework of the Enforcement and Compliance</li> <li>• An overview of the post-investigation remedies that the TPs (with findings of breach) may opt to exercise.</li> <li>• The applicable obligations of specific generators relative to its classification in the market</li> <li>• The scope of the OCC, Dispatch Conformance Standards, and Forecast Accuracy Standards (FAS) with an introduction to the penalty assessment in the WESM.</li> </ul>	3 hours

## COURSE OUTLINE (2/2)

Course Title	Coverage	Description	Duration
Orientation on Market Compliances	<ul style="list-style-type: none"> <li>• Compliance Post-Evaluation and Monitoring System (CPEMS) Hands-on: Quick Live Demo</li> <li>• Market Compliance Updates                             <ul style="list-style-type: none"> <li>○ Submission of WCO Annual Report</li> <li>○ Nomination of Compliance Officers</li> <li>○ ECO Forms and Templates</li> <li>○ Ongoing ECO Studies</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• A brief introduction on the CPEMS</li> <li>• A quick walkthrough of the system navigation, including a discussion of the various CPEMS modules functionalities.</li> <li>• Updates relevant to market compliances including ongoing market studies conducted by ECO and other required submissions from the WESM Members.</li> </ul>	3 hours

## COURSE MATERIALS

Training-related materials are released within five (5) business days from the date of the course completion. These typically include the following:

- Certificate of Attendance
- Presentation deck
- Training Highlights
- Course Completion Cards for the WCO Certification Program enrollees

## REGISTRATION GUIDELINES

- Registration forms or Training Course Enrollment Forms for trainings will be released at least 14 days before the scheduled training date.
- Each training participant should accomplish one (1) registration form.
- To register for this basic training course, please click on the training course enrollment form: [Orientation on Market Compliances](#).



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