

## Rules Change Committee 2017 Work Plan

The Rules Change Committee (RCC), during its various meeting to discuss its Work Plan for 2017, identified key and priority concerns/issues for WESM Rules and Manuals Changes based on their urgency, impact in the electricity market and policy direction of the Department of Energy. The 2017 Work Plan was formulated to serve as a guide for the RCC in performing its mandated functions under the WESM Rules.

Item	Proposed Amendment	Proponent	Date Submitted/ Proponent's Target Period of Submission to the RCC	RCC's Target Period of Submission to the PEM Board *	Deliverables (WESM Rules/ Retail Rules/ WESM Manual/ Retail Manual)	Status	Remarks
1	Proposed Amendments to the WESM Manuals on Pricing Error Notice and Price Substitution Methodology regarding Publication Timeline	PEMC	submitted on 28 October 2016	Q1 2017	WESM Manual	Completed	<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ <b>approved on 13 January 2017 (RCC-RESO-17-01)</b></li> </ul>
2	Proposed Amendments to the WESM Manuals on Registration, Suspension and De-registration Criteria and Procedures and Billing and Settlement regarding Bilateral Contract Quantity Declaration and Line Rental Calculation	PEMC	submitted on 03 November 2016	Q1 2017	WESM Manual	Completed	<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ To revise the bilateral declaration timeline and incorporate changes to the line rental calculation to address previous audit findings;</li> <li>▪ <b>approved on 13 January 2017 (RCC-RESO-17-02)</b></li> </ul>

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3	Proposed Urgent Amendments to the WESM Manuals on (a) Price Determination Methodology and (b) Constraint Violation Coefficient and Pricing Re-run	PEMC	submitted on 20 February 2017	Q1 2017	WESM Manual	Completed	<ul style="list-style-type: none"> <li>▪ deliberated by RCC on 20 February 2017</li> <li>▪ <b>approved on 20 February 2017 (RCC-RESO-17-03)</b></li> </ul>
4	Proposed Amendments to the WESM Manual on Metering Standards and Procedures regarding MSP Performance Rating	PEMC	submitted on 10 March 2016	Q2 2017	WESM Manual	On-going	<ul style="list-style-type: none"> <li>▪ carried over from 2016</li> <li>▪ Proposed New Computation of MSP Rating</li> <li>▪ RCC Sub-committee convened on 17 Aug 2016</li> <li>▪ approved on 07 December 2016 during RCC's 122nd Meeting;</li> <li>▪ <b>for finalization; incorporated with proposals regarding Back-up Meters and WESM Design Enhancements (item nos. 5 and 11)</b></li> </ul>

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5	Proposed Amendments to the WESM Rules and WESM Manual on Metering Standards and Procedures regarding Back-up meters	RCC	submitted in 2014	Q2 2017	WESM Rules and Manual	On-going	<ul style="list-style-type: none"> <li>▪ carried-over from 2014</li> <li>▪ Originally proposed by PEMC and Technical Committee</li> <li>▪ Deferred further deliberations awaiting results of 2nd Metering Audit Review;</li> <li>▪ resumed deliberations in April 2016</li> <li>▪ approved during 122nd RCC Meeting on 07 Dec 2016</li> <li>▪ <b>for finalization; incorporated with proposals on MSP Performance Rating and WESM Design Enhancements (item nos. 4 and 11)</b></li> </ul>

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6	Proposed Amendments to the Retail Manual on Metering Standards and Procedures to Address Audit Findings	PEMC	submitted on 05 December 2016	Q2 2017	Retail Manual	On-going	<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ In response to RSM Bird Cameron's findings (5th MO Audit)</li> <li>▪ Submitted to the RCC on 05 Dec 2016</li> <li>▪ Presented before the RCC on 07 Dec 2016</li> <li>▪ Published in the market website on 12 December 2016, commenting period until 26 January 2017</li> <li>▪ <b>for finalization; incorporated with proposals regarding Back-up Meters and WESM Design Enhancements (item nos. 11 and 12)</b></li> </ul>

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7	Proposed Amendments to the WESM Manual on Procedures for Load Shedding	NGCP-SO	submitted on 20 July 2016	Q2 2017	WESM Manual	On-going	<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ deferred by the RCC on 13 January 2017 (123rd Meeting) pending update on Load Shedding Procedures by MO and SO</li> <li>▪ <b>as of 20 Feb 2017: Manual will be abolished and incorporated in the proposed Dispatch Protocol Manual 13 to reflect WESM design enhancements (item no. 11)</b></li> </ul>

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8	Proposed Amendments to the WESM Manual on Registration, Suspension and De-Registration Criteria and Procedures	PEMC	submitted on 29 December 2016	Q2 2017	WESM Manual	On-going	<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ To streamline procedures and address audit observations</li> <li>▪ To incorporate the treatment/modelling of embedded generation and generation monitoring (gross or net of station use)</li> <li>▪ Proposal submitted to the RCC on 29 December 2016</li> <li>▪ published on 18 January 2017 (commenting period until 01 Mar 2017)</li> <li>▪ <b>on-going RCC deliberations</b></li> </ul>

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9	Proposed Amendments to the WESM Rules and Proposed Enforcement and Compliance Manual	PEMC	submitted on 06 December 2016	Q2 2017	WESM Rules and Manual	On-going	<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ To provide for the authority of PEMC and the PEM Board to investigate and impose sanctions to non-complying participants;</li> <li>▪ published on 18 January 2017 (commenting period until 01 Mar 2017)</li> <li>▪ <b>on-going RCC deliberations</b></li> </ul>
10	Proposed Amendments to the WESM Rules and Market Surveillance, Compliance and Enforcement Manual	MSC	submitted on 29 December 2016	Q2 2017	WESM Rules and Manual	On-going	<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ To set out the definitions of breaches and the procedures on compliance monitoring and enforcement actions</li> <li>▪ published on 18 January 2017 (commenting period until 01 Mar 2017)</li> <li>▪ <b>on-going RCC deliberations</b></li> </ul>

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11	Proposed Amendments to the WESM and Retail Rules and Various Manuals for the Implementation of WESM Design Enhancements (Batch 2):  a) WESM Rules b) Retail Rules c) Dispatch Protocol Manual d) Load Forecasting Manual e) Billing and Settlement Manual f) WESM Metering Manual g) Retail Metering Manual	PEMC	submitted on 16 December 2016	Q2 2017	WESM Rules and Manual	On-going	<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ In compliance with DOE Circular No. DC2015-10-0015</li> <li>▪ presented to the RCC on 07 Dec 2016</li> <li>▪ published in the market website for comments of Market Participants (commenting period until 06 Feb 2017, extended until 08 Feb)</li> <li>▪ <b>on-going RCC deliberations</b></li> <li>▪ <b>proposed amendments to the Retail Rules for finalization; will be incorporated with proposals regarding Back-up Meters and Audit Findings (item nos. 6 and 12)</b></li> </ul>

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12	Proposed Amendments to the Retail Manual on Metering Standards and Procedures regarding Back-up Meters	RCC	submitted in 2014	Q2 2017	Retail Manual	On-going	<ul style="list-style-type: none"> <li>▪ carried-over from 2014;</li> <li>▪ originally proposed by MERALCO in 2014</li> <li>▪ Deferred further deliberations awaiting results of 2nd Metering Audit Review</li> <li>▪ resumed deliberations on 03 March 2017 (126th Meeting)</li> <li>▪ <b>for finalization; incorporated with proposals regarding Audit Findings and WESM Design Enhancements (item nos. 6 and 11)</b></li> </ul>

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13	Proposed WESM Rules revision regarding reportorial requirements of the Daily Significant Variations Report	PEMC	Q1 2017	Q2 2017	WESM Rules and Manual		<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ Amendments to reflect that submission of a printed copy of the DSVR to the DOE, ERC, PEM Board, WESM Members and interested entities (Clause 1.3.1.5) is no longer necessary since said report is accessible to the public (i.e., report is published on a daily basis through WESM website).</li> </ul>
14	Proposed Amendments to the WESM Rules (as applicable) and WESM Manual on Information Disclosure and Confidentiality for the Implementation of WESM Design Enhancements (Batch 3)	PEMC	Q2 2017	Q2 2017	WESM Rules and Manual		<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ In compliance with DOE Circular No. DC2015-10-0015</li> </ul>

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15	Proposed Amendments to the Manual of Procedures for Changes to the WESM Rules Issue 2	RCC	Q2 2017	Q2 2017	WESM Manual		<ul style="list-style-type: none"> <li>▪ to align the Manual with the WESM Rules</li> <li>▪ to enhance the RCC process</li> </ul>
16	Proposed Amendments to the Financial Penalty Manual	PEMC	Q2 2017	Q3 2017	WESM Rules and Manual		<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ To set out the penalty to be applied for each type of breach and for breach of the dispatch conformance standards based on the enhanced WESM design, and to simplify the penalty system</li> </ul>
17	Proposed Amendments to the WESM Manual on Market Network Model Development and Maintenance Criteria and Procedures	PEMC	Q2 2017	Q3 2017	WESM Manual		<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ Proposal concerning the modelling of DUs, embedded generation, and generation monitoring (gross or net of station use)</li> </ul>

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18	Exclusion of Contestable Customers in Registering as Indirect / Direct WESM Members and Establishment of process for their mapping through their Retail Electricity Suppliers (RESes)	RESA	Q2 2017	Q3 2017	WESM Rules and Manual		<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ To remove requirement for CCs to directly register with the WESM and to propose that CCs' registration be through the RES counterparty</li> </ul>
19	Representation of RESes in the WESM Model/ Operations	RESA	Q2 2017	Q3 2017	WESM Rules and Manual		<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ To represent RESes in the WESM Model/Operations and to revise settlement formula in consideration of RES transactions</li> </ul>
20	Proposed Amendments to the WESM Manual on Metering Standards and Procedures regarding SSLA for Generators	PEMC	Q2 2017	Q3 2017	WESM Rules and Manual		<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ To reflect the application of Site Specific Loss Adjustment (SSLA) for Generators</li> </ul>
21	Proposed Amendments to the WESM Rules for the Appropriate Location of Metering Points and Market Trading Node	PEMC	Q2 2017	Q3 2017	WESM Rules and Manual		<ul style="list-style-type: none"> <li>▪ carried-over from 2016</li> <li>▪ To adopt the DOE DC No. 2016-05-0007</li> </ul>

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22	Proposed Amendments to Proposed Rules Changes to the WESM Rules, Retail Manual and PAC Market Manual	PAC	Q2 2017	Q3 2017	WESM Rules and Manual		Proposed changes on audit provisions including the renaming of the PEM Auditor and Audit of Metering Arrangements audit title, frequency of audits, and inclusion of rules regarding MSP's compliance to metering installation requirements and rules providing for the review of all new market-related software and modifications to existing software implemented by the Market Operator (MO) as identified through the ICT Change Management Procedure.

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23	Proposed Amendments to the WESM Manuals and WESM Rules (as applicable) to enhance WESM design and operations and provide guidelines on the participation of BESS and other modes of operation in the WESM:  a) Registration Manual b) Load Forecasting Manual	PEMC	Q2 2017	Q3 2017	WESM Rules and Manual		<ul style="list-style-type: none"> <li>▪ In compliance with DOE Circular No. DC2015-10-0015;</li> <li>▪ Subject to DOE policy and ERC guidelines on BES and other modes of operation in the WESM. Also on the ERC approval of the ASRS/ASPP</li> </ul>
24	Proposed Amendments related to Site-Specific Loss Adjustment (SSLA)	DU	Q2 2017	Q3 2017	WESM Rules and Manual		
25	Proposed Amendments to the WESM Manual on Dispute Resolution	DRA	Q2 2017	Q3 2017	WESM Manual		Amendments relative to fees and costs of WESM Mediation and Arbitration

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26	Proposed Amendments to the WESM Manuals regarding WESM Design Enhancements: a) Ancillary Services Monitoring Manual b) Guidelines on Significant Variations	PEMC	Q2/Q3 2017	Q3/Q4 2017	WESM Rules and Manual		In compliance with DOE Circular No. DC2015-10-0015

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