

**MONTHLY CONSOLIDATED  
COMPLIANCE MONITORING AND ASSESSMENT REPORT**

Covered Period	<b>2023/10/26 - 2023/11/25</b>
Total No. of Participants Monitored	<b>205</b>
Total No. of Facilities Monitored ID	<b>266</b>
Total No. of Intervals Monitored	<b>OCCS:315141 DCS:3780805 Total: 4095946</b>

**A. RECOMMENDATIONS AND ACTIONS REQUESTED**

Recommendations	Intervals	%	No. of Trading Participants	No. of Plants/Facilities
<b>COMPLIANCE WITH THE OFFERED CAPACITY COMPLIANCE</b>				
<b>Justified</b>	89230	97.92 %	156	191
<b>Breach</b>	1894	2.08 %	5	5
<b>Total</b>	91124	100 %	-	-
<b>COMPLIANCE WITH THE DISPATCH CONFORMANCE STANDARDS</b>				
<b>Justified</b>	41211	99.37 %	120	144
<b>Breach</b>	263	0.63 %	7	12
<b>Total</b>	41474	100 %	-	-

**B. BREACH FINDINGS**

**SUMMARY FINDINGS - COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE**

Summary Findings	Luzon	Visayas	Mindanao	Grand Total
<b>OFFERED CAPACITY COMPLIANCE</b>				
<b>Justified</b>	52516	19617	16579	88712
<b>Not Justified</b>	7	0	1887	1894
<b>Percentage</b>	57.97%	21.65%	20.38%	100%
<b>COMPLIANCE WITH THE DISPATCH CONFORMANCE STANDARDS</b>				
<b>Justified</b>	20217	17736	2919	40872
<b>Not Justified</b>	32	0	231	263
<b>Percentage</b>	49.23%	43.12%	7.66%	100%

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<b>C. PENALTIES IMPOSED (based on Published reports)</b>				
<b>Penalty</b>	<b>Luzon</b>	<b>Visayas</b>	<b>Mindanao</b>	<b>Grand Total</b>
<b>OFFERED CAPACITY COMPLIANCE</b>				
<b>Non-Compliance Letter</b>	0	0	2	2
<b>Level 1 Penalty Count</b>	5	0	25	30
<b>Level 2 Penalty Count</b>	2	0	1860	1862
<b>Penalty Amount, PhP</b>	9,000.00	0.00	36,595,000.00	36,604,000.00
<b>DISPATCH CONFORMANCE STANDARDS</b>				
<b>Non-Compliance Letter</b>	32	0	87	119
<b>Level 1 Penalty Count</b>	0	0	50	50
<b>Level 2 Penalty Count</b>	0	0	94	94
<b>Penalty Amount, PhP</b>	0.00	0.00	3,856.28	3,856.28

**Gubaton Lopez Ma Hazel**  
*Enforcement and Compliance Office Head*