

## MONTHLY CONSOLIDATED COMPLIANCE MONITORING AND ASSESSMENT REPORT

|                                      |                                        |
|--------------------------------------|----------------------------------------|
| Covered Period                       | 2026/03/26 - 2026/04/25                |
| Total No. of Participants Monitored  | 257                                    |
| Total No. of Facilities Monitored ID | 335                                    |
| Total No. of Intervals Monitored     | OCCS:388459 DCS:4678768 Total: 5067227 |

| A. RECOMMENDATIONS AND ACTIONS REQUESTED           |           |         |                             |                          |
|----------------------------------------------------|-----------|---------|-----------------------------|--------------------------|
| Recommendations                                    | Intervals | %       | No. of Trading Participants | No. of Plants/Facilities |
| COMPLIANCE WITH THE OFFERED CAPACITY COMPLIANCE    |           |         |                             |                          |
| Justified                                          | 94787     | 99.94 % | 180                         | 220                      |
| Breach                                             | 59        | 0.06 %  | 6                           | 6                        |
| Total                                              | 94846     | 100 %   | -                           | -                        |
| COMPLIANCE WITH THE DISPATCH CONFORMANCE STANDARDS |           |         |                             |                          |
| Justified                                          | 134732    | 99.82 % | 109                         | 150                      |
| Breach                                             | 240       | 0.18 %  | 4                           | 5                        |
| Total                                              | 134972    | 100 %   | -                           | -                        |

| B. BREACH FINDINGS                                                      |
|-------------------------------------------------------------------------|
| SUMMARY FINDINGS - COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE |

| Summary Findings                                   | Luzon  | Visayas | Mindanao | Grand Total |
|----------------------------------------------------|--------|---------|----------|-------------|
| OFFERED CAPACITY COMPLIANCE                        |        |         |          |             |
| Justified                                          | 53873  | 20098   | 20816    | 94787       |
| Not Justified                                      | 12     | 19      | 28       | 59          |
| Percentage                                         | 56.81% | 21.21%  | 21.98%   | 100%        |
| COMPLIANCE WITH THE DISPATCH CONFORMANCE STANDARDS |        |         |          |             |
| Justified                                          | 64568  | 31116   | 39048    | 134732      |
| Not Justified                                      | 179    | 61      | 0        | 240         |
| Percentage                                         | 47.97% | 23.1%   | 28.93%   | 100%        |

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| <b>C. PENALTIES IMPOSED (based on Published reports)</b> |              |                |                 |                    |
|----------------------------------------------------------|--------------|----------------|-----------------|--------------------|
| <b>Penalty</b>                                           | <b>Luzon</b> | <b>Visayas</b> | <b>Mindanao</b> | <b>Grand Total</b> |
| <b>OFFERED CAPACITY COMPLIANCE</b>                       |              |                |                 |                    |
| <b>Non-Compliance Letter</b>                             | 0            | 0              | 1               | 1                  |
| <b>Level 1 Penalty Count</b>                             | 8            | 5              | 8               | 21                 |
| <b>Level 2 Penalty Count</b>                             | 4            | 14             | 19              | 37                 |
| <b>Penalty Amount, PhP</b>                               | 16,000.00    | 33,000.00      | 433,000.00      | 482,000.00         |
| <b>DISPATCH CONFORMANCE STANDARDS</b>                    |              |                |                 |                    |
| <b>Non-Compliance Letter</b>                             | 45           | 36             | 0               | 81                 |
| <b>Level 1 Penalty Count</b>                             | 84           | 25             | 0               | 109                |
| <b>Level 2 Penalty Count</b>                             | 50           | 0              | 0               | 50                 |
| <b>Penalty Amount, PhP</b>                               | 1,793,481.24 | 55,445.53      | 0.00            | 1,848,926.77       |

**Gubaton Lopez Ma Hazel**  
*Enforcement and Compliance Office Head*