



Covered Period	26 JULY – 25 AUGUST 2019
Total No. of Participants Monitored	118 Trading Participants
Total No. of Facilities Monitored	144 Power Plants
Total No. of Intervals Monitored	168,600 Intervals

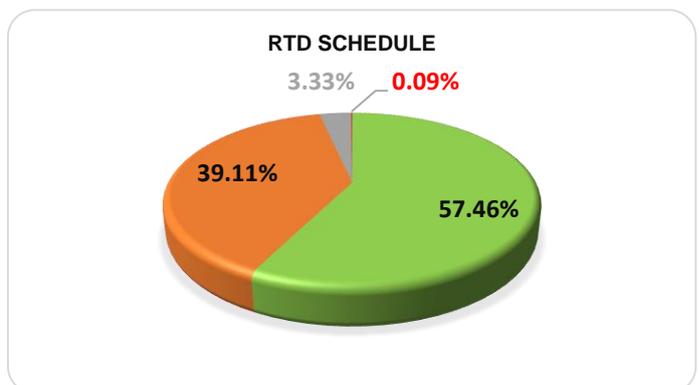
RECOMMENDATIONS AND ACTIONS REQUESTED

Recommendations	Intervals	%	No. of Trading Participants	No. of Plants/Facility	For Details
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
For Closure	168,118	99.54%	119	144	Annex A-1
For Investigation (Issuance of RFI)	770	0.46%	3	3	Annex A-2
Total	168,888	100.00%			
COMPLIANCE WITH THE RTD SCHEDULE (RTD)					
For Closure	168,737	99.91%	119	143	Annex B-1
For Investigation (Issuance of RFI)	151	0.09%	5	6	Annex B-2
Total	168,888	100.00%			

The details and reasons for recommended closure and for issuance of RFIs are likewise found in **Annexes A-E**.

BASIS FOR RECOMMENDATIONS

SUMMARY FINDINGS – COMPLIANCE WITH THE MUST OFFER RULE AND RTD SCHEDULE



Legend: ■ Compliant ■ Initial Monitoring Justified ■ Initially Flagged NC But Justified ■ Initially Flagged NC-For Investigation

Summary Findings	Compliant	Initial Monitoring - Justified	Initially Flagged Non-Compliance But Justified (Post)	Initially Flagged Non-Compliance – FOR INVESTIGATION	Grand Total
COMPLIANCE WITH THE MUST OFFER RULE/NOMINATION					
Intervals, #	83,166	47,560	37,392	770	168,888
Percentage	49.24%	28.16%	22.14%	0.46%	100.00%
COMPLIANCE WITH THE RTD SCHEDULE					
Intervals, #	97,050	66,059	5,628	151	168,888
Percentage	57.46%	39.11%	3.33%	0.09%	100.00%


MA. HAZEL M. GUBATON-LOPEZ
Enforcement and Compliance Office Head